

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

GEORGE HUMPHRYS, Individually And
On Behalf Of All Others Similarly Situated,

Plaintiff,

v.

TD Ameritrade Holding Corporation, and
TD Ameritrade, Inc., f.k.a TD Waterhouse
Investor Services, Inc.,

Defendants.

No. 08-CV-2912 (PAC)

Hon. Paul A. Crotty

ECF Case

RULE 7.1 DISCLOSURE STATEMENT

Defendants, by their undersigned attorneys, make the following disclosure pursuant to
Rule 7.1 of the Federal Rules of Civil Procedure:

Defendant TD Ameritrade, Inc. is a wholly-owned subsidiary of TD Ameritrade Holding
Corporation, which in turn is a publicly held corporation. No publicly-held corporation holds ten
or more percent ownership in TD Ameritrade Holding Corporation.

Dated: May 1, 2008

Respectfully submitted,

s/

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CERTIFICATE OF SERVICE

I hereby certify that on May 1, 2008, I caused a true copy of the foregoing Rule 7.1 Disclosure Statement to be served upon the following via the Court's ECF system and overnight mail:

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